



07-01-03

2621

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert K. Rowe et al.

Serial No.: 09/415,594

Examiner: B. Werner

Filed: October 8, 1999

Group Art Unit: 2621

For: APPARATUS AND METHOD FOR IDENTIFICATION OF INDIVIDUALS  
BY NEAR-INFRARED SPECTRUM

Docket No.: 1023.1110101

**RECEIVED**

JUL 09 2002

Technology Center 2600

**TRANSMITTAL SHEET**Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL855120702US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 28th day of June, 2001.

By Kathleen L. Boekley  
Kathleen L. Boekley

We are transmitting herewith the attached:

☒ [ XX ] Amendment☒ [ XX ] No additional fee required☐ [ ] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: FOUR SHEETS OF NEW DRAWINGS.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

David M. Crompton

Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349